(19) World Intellectual Property Organization International Bureau



(43) International Publication Date 7 June 2001 (07.06.2001)

PCT

(10) International Publication Number WO 01/41225 A3

(51) International Patent Classification7:

H01L 33/00

(21) International Application Number:

PCT/US00/42525

(22) International Filing Date:

28 November 2000 (28.11.2000)

(25) Filing Language:

English

(26) Publication Language:

English

(30) Priority Data:

60/168,817

3 December 1999 (03.12.1999) U

- (71) Applicant: CREE LIGHTING COMPANY [US/US]; 340 Storke Road, Goleta, CA 93117 (US).
- (72) Inventors: THIBEAULT, Brian; 1914 Cleveland Avenue, Santa Barbara, CA 93101 (US). MACK, Michael; 6864 Bottom Wood Lane, Goleta, CA 93117 (US). DENBAARS, Steven, P.; 6266 Marlborough Drive, Goleta, CA 93101 (US).

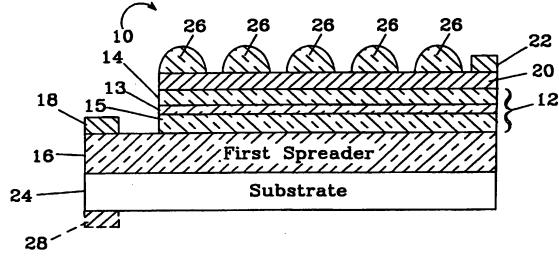
- (74) Agents: HEYBL, Jaye, G. et al.; Koppel & Jacobs, Suite 107, 555 St. Charles Drive, Thousand Oaks, CA 91360 (US).
- (81) Designated States (national): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CR, CU, CZ, DE, DK, DM, DZ, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, UZ, VN, YU, ZA, ZW.
- (84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

Published:

with international search report

[Continued on next page]

(54) Title: ENHANCED LIGHT EXTRACTION IN LEDS THROUGH THE USE OF INTERNAL AND EXTERNAL OPTICAL ELEMENTS



(57) Abstract: This invention describes new LEDs having light extraction structures (26) on or within the LED to increase its efficiency. The new light extraction structures (26) provide surfaces for reflecting, refracting or scattering light into directions that are more favorable for the light to escape into the package. The structures can be arrays of light extraction elements (42, 44, 46, 48, 50, 52) or disperser layers (112, 122, 134, 144, 152, 162). The light extraction elements can have many different shapes and are placed in many locations to increase the efficiency of the LED over conventional LEDs. The disperser layers provide scattering centers for light and can be placed in many locations as well. The new LEDs with arrays of light extraction elements are fabricated with standard processing techniques making them highly manufacturable at costs similar to standard LEDs. The new LEDs with disperser layers are manufactured using new methods and are also highly manufacturable.

WO 01/41225 A3

(88) Date of publication of the international search report: 3 January 2002 For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

I. national Application No PCT/US 00/42525

A. CLASSIFICATION OF SUBJECT MATTER IPC 7 H01L33/00

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols) IPC 7 H01L

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data

Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.	
х	US 5 528 057 A (YANAGASE M ET AL) 18 June 1996 (1996-06-18)	1-7,13, 20-24, 27,33, 34,36	
Y	the whole document	15-19, 29-32	
Y A	US 5 491 350 A (UNNO T ET AL) 13 February 1996 (1996-02-13) the whole document	15-19, 29-32 37	
X	WO 99 53578 A (UNIV STRATHCLYDE) 21 October 1999 (1999-10-21)	1,2,4,5, 15-18, 20-23, 29-31, 33,34,	
A	the whole document	36,37,39 40	

Y Further documents are listed in the continuation of box C.	Patent family members are listed in annex.
Special categories of cited documents: A document defining the general state of the art which is not considered to be of particular relevance E earlier document but published on or after the international filing date L document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) O document referring to an oral disclosure, use, exhibition or other means P document published prior to the international filing date but later than the priority date claimed	"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art. "8" document member of the same patent family
Date of the actual completion of the international search 5 July 2001	Date of mailing of the international search report 12/07/2001
Name and mailing address of the ISA European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016	van der Linden, J.E.

1

In sational Application No PCT/US 00/42525

•	Relevant to claim No.
Ованов от ОООШПОВИ, жил видослов, жило арргорияло, от ние тексови разолуев	
JP 11 274568 A (HEWLETT PACKARD CO) 8 October 1999 (1999-10-08)	1,2,4,5, 8,11-13, 15,16, 19-27,
•	29,32-36 37,40
-& US 6 091 085 A (AGILENT TECHNOLOGIES) 18 July 2000 (2000-07-18)	1,2,4,5, 8,11-13, 15,16, 19-27, 29,32-36
the whole document	37,40
EGAWA T ET AL: "Stimulated emission from current injected InGaN/AlGaN surface emitting diode with Al reflector" ELECTRONICS LETTERS, 1996, vol. 32, pages 486-488, XP006004802 ISSN: 0013-5194 the whole document	1,2,4, 13,14, 20-23, 27,28, 33,36
US 5 414 281 A (WATABE S ET AL) 9 May 1995 (1995-05-09)	1-4,13, 15-18, 20-23, 27, 29-33,36
column 2, line 44 -column 5, line 47	37
US 5 793 062 A (KISH F ET AL) 11 August 1998 (1998-08-11) cited in the application	1-5,7,8, 11, 13-15, 19-29, 32-36
SCHNITZER I ET AL: "30% External quantum efficiency from surface textured LEDs" APPLIED PHYSICS LETTERS, 1993, vol. 63, pages 2174-2176, XP000404433 ISSN: 0003-6951 cited in the application the whole document	1,4,5,8, 11,13,20
DE 26 33 191 A (SIEMENS AG) 26 January 1978 (1978-01-26) the whole document	9,10
US 5 557 115 A (SHAKUDA Y) 17 September 1996 (1996-09-17) the whole document	22,33-36
-/	
· ·	
	JP 11 274568 A (HEWLETT PACKARD CO) 8 October 1999 (1999-10-08) -& US 6 091 085 A (AGILENT TECHNOLOGIES) 18 July 2000 (2000-07-18) the whole document EGAWA T ET AL: "Stimulated emission from current injected InGaN/AlGaN surface emitting diode with Al reflector" ELECTRONICS LETTERS, 1996, vol. 32, pages 486-488, XP006004802 ISSN: 0013-5194 the whole document US 5 414 281 A (WATABE S ET AL) 9 May 1995 (1995-05-09) column 2, line 44 -column 5, line 47 US 5 793 062 A (KISH F ET AL) 11 August 1998 (1998-08-11) cited in the application the whole document SCHNITZER I ET AL: "30% External quantum efficiency from surface textured LEDs" APPLIED PHYSICS LETTERS, 1993, vol. 63, pages 2174-2176, XP000404433 ISSN: 0003-6951 cited in the application the whole document DE 26 33 191 A (SIEMENS AG) 26 January 1978 (1978-01-26) the whole document US 5 557 115 A (SHAKUDA Y) 17 September 1996 (1996-09-17)

I. ational Application No PCT/US 00/42525

C.(Continue	ation) DOCUMENTS CONSIDERED TO BE RELEVANT	701703 00/42525		
Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.		
P,X	EP 0 977 277 A (IMEC; UNIV BRUXELLES) 2 February 2000 (2000-02-02)	1-4,8, 11,13, 14, 20-23, 25-28,		
Р,А	the whole document	33,35,36 8,9		
	Commence of the second			

1

information on patent family members

i. ational Application No PCT/US 00/42525

Patent document cited in search repo		Publication date		Patent family member(s)	Publication date
US 5528057	Α	18-06-1996	JP	6338630 A	06-12-1994
US 5491350	Α	13-02-1996	CN	1103512 A,B	07-06-1999
			JP	7193275 A	28-07-1995
WO 9953578	Α	21-10-1999	EP	1072072 A	31-01-2001
JP 11274568	A	08-10-1999	US	6091085 A	18-07-2000
US 5414281	Α	09-05-1995	GB	2270199 A,B	02-03-1994
			JP	3139890 B	05-03-2001
			JP	6125111 A	06-05-1994
US 5793062	Α	11-08-1998	US	6015719 A	18-01-2000
			DE	19629920 A	13-02-1997
			GB	2304230 A,B	12-03-1997
	•		JP	9107123 A	22-04-1997
DE 2633191	Α	26-01-1978	NONE		
US 5557115	Α	17-09-1996	JP	8056014 A	27-02-1996
			JP	8064872 A	08-03-1996
EP 0977277	A	02-02-2000	EP	0977063 A	02-02-2000
			EP	0977064 A	02-02-2000
			EP	0977280 A	02-02-2000
			JP 2	000098180 A	07-04-2000
			JP 2	2000106454 A	11-04-2000

RECEIVED

JAN 2 1 2001

KOPPEL, JACOBS, PATRICK & HEYBL